**POL 6502- SÉMINAIRE DE SYNTHÈSE:
ADMINISTRATION ET POLITIQUES PUBLIQUES
AUTOMNE 2006
JEUDI DE 8H30 À 11H30**

Séminaire dirigé par Éric Montpetit
Plan de cours préparé par Denis Saint-Martin et Éric Montpetit

Objectif

L'objectif principal de ce séminaire est d'amener les étudiants à acquérir une solide connaissance du champ de l'administration et des politiques publiques, qui couvre l'étude des institutions et processus administratifs, des questions d'imputabilité, des principes de gestion et d'organisation, et des modes d'interactions entre l'État et la société dans le développement et la mise en oeuvre des décisions gouvernementales. Les étudiants pourront se familiariser aux différentes perspectives théoriques, démarches méthodologiques, acquis de recherche et débats qui marquent le champ.

Organisation

Le séminaire abordera les grandes tendances de la recherche et passera en revue, dans un ordre d'abord chronologique, certains des principaux ouvrages qui ont contribué à façonner le champ de l'administration et des politiques publiques. De façon générale, le thème de la *rationalité de l'action publique* servira de fil conducteur avec lequel les étudiants pourront suivre l'évolution et l'accumulation des connaissances à l'intérieur du champ. L'action publique doit-elle être guidée par une rationalité procédurale (ou légale) issue du processus politique, comme le pensait Max Weber au début du siècle dernier? Cela devrait-il être plutôt l'affaire d'une rationalité guidée par des principes scientifiques? Ou une rationalité façonnée par les principes du marché, les réseaux, les jeux de négociations pluralistes des groupes d'intérêts, les héritages institutionnels du passé, ou par l'apprentissage sociale et la délibération démocratique?

Évaluation

Pour aborder ces questions, les étudiants auront à lire des travaux importants de ce champ, à les analyser, critiquer et à échanger sur leurs mérites et limites. Chaque séminaire portera sur un certain nombre de lectures obligatoires autour d'un thème donné. Les étudiants seront invités à rédiger deux rapports critiques, comptant chacun pour 20% de la note finale. Les séminaires permettront d'approfondir la compréhension et l'analyse critique des textes. Les discussions seront lancées grâce à des présentations de la part des étudiants. Chaque étudiant devra préparer trois présentations, chacune comptant pour 10% de la

note finale. Un examen maison, comptant pour 30% de la note, permettra de faire la synthèse des différentes études.

Tous les textes dont la lecture est obligatoire sont à la réserve de la bibliothèque Samuel Bronfman ou au SRD du Département de science politique.

1. Présentation du plan de cours (7 sept.)

2. Bureaucratie (14 sept.)

- Du Guay, Paul, ed. (2005). *The Values of Bureaucracy*. Oxford University Press. Intro, 1, 2, 3 et trois autres au choix.

<u>Lectures supplémentaires:</u>

Albrow, Martin (1970). *Bureaucracy*. Prager Publishers.

Arnold, Peri E. (1998). *Making the Managerial Presidency*. Kansas University Press.

Barnard, C. (1951). *The Functions of the Executive*. Harvard University Press.

Beetham, David (1987). *Bureaucracy*. University of Minnesota Press.

Bernoux, Philippe (1990). *La sociologie des organisations*. Éditions du Seuil.

Blau, Peter M. (1955). *The Dynamics of Bureaucracy*. University of Chicago Press.

Blau, Peter M. et Marshall W. Meyer (1987). *Bureaucracy in Modern Society*. (3ème éd.) Random House.

Brubaker, Roger (1984). *The Limits of Rationality*. Allen & Unwin.

Chandler, Alfred D. (1977). *The Visible Hand: the Managerial Revolution in American Business.* Harvard University Press.

Crozier, Michel (1963). *Le phénomène bureaucratique*. Éditions du Seuil.

Dwivedi O. P et Gow, J. I. (1999). *From Bureaucracy to Public Management*. Broadview Press et IPAC.

Etzioni-Halevy, Eva (1985). *Bureaucracy and Democracy*. Routledge.

Fry, Brian R. (1985). *Mastering Public Administration*. Chatham House Publishers.

Gerth, H. H. et C. Wright Mills (1958). *From Max Weber*. Oxford University Press.

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Guillén, Mauro F. (1994). *Models of Management*. University of Chicago Press.

Haber, Samuel (1964). *Effiency and Uplift*. University of Chicago Press.

Habermas, Jurgen (1971). *Towards a Rational Society*. Heinemann.

Landau, Martin (1969). 'Redundancy, Rationality, and the Problem of Duplication and Overlap', *Public Administration Review* (29): Juillet-Août, pp.346-358.

Merkle, Judith A. (1980). *Management and Ideology: the Legacy of the International Scientific Management Movement.* University of California Press.

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Mommsen, Wolfgang J. (1974). *The Age of Bureaucracy: Perspectives on the Political Sociology of Max Weber*. Oxford University Press.

Mommsen, Wolfgang J. (1989). *The Political and Social Theory of Max Weber*. University of Chicago Press. Chapitre 7 sur la bureaucratie.

Olin Wright, Erik (1974-75). 'To Control or to Smash Bureaucracy? Weber and Lenin on Politics, the State and Bureaucracy', *Berkeley Journal of Sociology* (19), pp.69-103.

Pollitt, Christopher (1990). *Managerialism and the Public Services*. Blackwell.

Rabin, Jack et al. (1984). *Politics and Administration: Woodrow Wilson and American Public Administration*. Dekker.

Schiesl, Martin J. (1977). *The Politics of Efficiency*. University of California Press.

Scott, W. Richard (1987). *Organizations. Rational, Natural and Open Systems*. Prentice-Hall. Chapitre 2 « Organizations as Rational Systems », pp,31-50.

Shenhav, Yehouda (1999). *Manufacturing Rationality: The Engineering Foundations of the Managerial Revolution*. Oxford University Press.

Silberman, Bernard S. (1993). *Cages of Reason: the Rise of the Rational State in France, Japan, the United States and Great Britain*. University of Chicago Press.

Simon, Herbert. A. (1960). *The New Science of Management*. Prentice-Hall.

Smith, Brian C. (1988). *Bureaucracy and Political Power*. Wheatsheaf Books.

Waldo, Dwight (1948). *The Administrative State: A Study of the Political Theory of American Public Administration*. The Ronald Press Company.

Waring, Stephen P. (1991). *Taylorism Transformed : Scientific Management Theory Since 1945*. University of North Carolina Press.

Wilson, James Q. (1989). *Bureaucracy. What Government Agencies Do and Why They Do It*. Basic Books.

Wilson, Woodrow (1887). 'The Study of Administration', pp.59-80; et 'Democracy and Efficiency', (1901), pp.6-20, dans *The Papers of Woodrow Wilson, Volume V,* Arthur S. Link (1968). Princeton University Press.

Yates, Douglas (1982). *Bureaucratic Democracy*. Harvard University Press.

3. Autorité politique et pouvoir bureaucratique (21 sept.)

- Carpenter, Daniel P. (2001). *The Forging of Bureaucratic Autonomy*. Princeton University Press.

<u>Lectures supplémentaires:</u>

Atkinson M. et W. Coleman (1985). 'Bureaucrats and Politicians in Canada: An Examination of the Political Administration Model', *Comparative Political Studies* (18): 1, pp.58-80.

Aberbach, J. D. et al. (1981). *Politicians & Bureaucrats in Western Democracies*. Harvard University Press.

Burke, John P. (1986). *Bureaucratic Responsibility*. John Hopkins University Press.

Campbell C. et B. G. Peters (1988). 'The Politics/Administration Dichotomy: Death or Merely Change? *Governance* (1), pp.79-99.

Campbell, Colin et M. J. Wyszomirski (1991). *Executive Leadership in Anglo-American Systems*. University of Pittsburgh Press.

Campbell, Colin et Graham K. Wilson (1995). *The End of Whitehall: Death of a Paradigm?* Blackwell. Chapitre 8, the Post-Whitehall Era.

DeBaecque, F. et J. L. Quermonne (1982). *Administration et politique sous la Cinquième république.* Presses de la Fondation nationale des sciences politiques.

Dunsire, Andrew (1978). *Control in a Bureaucracy.* St. Martin's Press.

Finer, Herman (1941). 'Administrative Responsibility and Democratic Government', *Public Administration Review*, no.1, pp.335-350.

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Ingraham, Patricia W. (1995). *The Foundation of Merit: Public Service in American Democracy.* John Hopkins University Press, 1995.

Kondylis, Vassilios (1994). *Le principe de la neutralité dans la fonction publique.* L.G.D.J.

Marsh, David, David Richards and Martin Smith. (2001). *Changing Patterns of Governance in the United Kingdom: Reinventing Whitehall?* MacMillan Press LTD.

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Mashaw, Jerry L. (1985). *Due Process in the Administrative State.* Yale University Press.

Mosher, Frederick C. (1968). *Democracy and the Public Service.* Oxford University Press.

Osbaldeston, Gordon (1988). *Holding Deputy Ministers Accountable.* Toronto, McGraw-Hill Ryerson.

Page, Edward C. (1992). *Political Authority and Bureaucratic Power.* Wheatsheaf Books.

Parris, Henry (1969). *Constitutional Bureaucracy*. George Allen and Unwin.

Rose, Richard (1987). 'Steering the Ship of State: One Tiller but Two Pairs of Hands', *British Journal of Political science* (17): 4, pp.409-434.

Rose, Richard (1988). *The Post-modern Presidency*. Chatham House.

Rose, Richard (2001). *The Prime Minister in a Shrinking World*. Polity Press.

Rourke, F.E. (1993). 'Whose Bureaucracy is This Anyway?' *P.S. Political Science & Politics* (26): 4, pp.687-691.

Stone, Bruce (1995). 'Administrative Accountability in the Westminster Democracies', *Governance* (8): 4, pp.505-526.

Suleiman, Ezra N. (1984). *Bureaucrats and Policy Making: A Comparative Overview*. Holmes and Meier.

Thomas, Rosamund (1989). *The British Philosophy of Administration*. Centre for Business and Public Sector Ethics.

West, Willam F. (1995). *Controlling the Bureaucracy. Institutional Constraints in Theory and Practice*. M. E. Sharpe Inc.

Woodhouse, Diana (1994). *Ministers & Parliament: Accountability in Theory and Practice*. Oxford University Press,

4. La décision politique et administrative (28 sept.)

- Lindblom, Charles et E. J. Woodhouse (1993). *The Policy-Making Process, Third Edition*. Prentice Hall.
- Bachrach, P. and Baratz, M.S., (1962). "Two Faces of Power", *American Political Science Review*, 54: 2, 947-952.

Lectures supplémentaires:

Allison, Graham, 1971. *Essence of Decision*. Little Brown.

Allison, Graham T. et M. H. Halperin (1972). 'Bureaucratic Politics: A Paradigm and Some Policy Implications', *World Politics* (24), pp.40-79.

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Crozier, M. et E. Friedberg (1977). *L'acteur et le système*. Seuil.

de Bruyne, Paul (1995). *La décision politique*. Éditions Peeters.

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Diesing, P. (1982). *Reason in Society: Five Types of Decisions and their Social Conditions*. Urbano University Press of Illinois.

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Etzioni, Amitai (1967). 'Mixed Scanning as a Third Approach to Decision-Making', *Public Administration Review*, vol.27, pp.385-392.

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Gregory, R. (1989). 'Political Rationality or Incrementalism? Lindblom's Enduring Contribution to Public Policy-Making Theory', *Policy and Politics* (17):2, pp.139-153.

Hayes, Michael T. (1992). *Incrementalism and Public Policy*. Longman.

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Self, Peter (1977). *Administrative Theories and Politics*. Allen & Unwin.

Smith, G. et D. May (1980). 'The Articifical Debate Between Rationalist and Incrementalist Models of Decision-Making', *Policy and Politics* (8): 2, pp.147-161.

Simon, Herbert A. (1983). *Administration et processus de décision.* Économica.

Weiss, A. et E. J. Woodhouse (1992). 'Reframing Incrementalism: A Constructive Response to Critics', *Policy Sciences* (25): 3, pp.255-274.

5. Les *Policy Sciences* (5 oct.)

- Pontusson, Jonas (2005). Inequality and Prosperity: Social Europe vs Liberal America. Cornell University Press.
- Lasswell, H (1951) 'The Policy Orientation', dans *The Policy Sciences*, D. Lerner et H. Lasswell (dirs). Stanford University Press, pp.3-15.

Lectures supplémentaires:

Amy, D. J. (1984). 'Toward a Post-Positivist Policy Analysis', *Policy Studies Journal* (13), pp.207-211.

Colebatch, H. K. (1998). *Policy.* University of Minnesota Press.

de Leon, Peter (1997). *Democracy and the Policy Sciences.* State University of New York Press.

de Leon, Peter (1988). *Advice and Consent: The Development of the Policy Sciences.* Russell Sage Foundation.

Dryzek, J. S. (1987). 'Complexity and Rationality in Public Life', *Political Studies* (35), pp.424-442.

Dryzek, J. S. (1989). 'Policy Sciences of Democracy', *Polity* (22), pp.97-118.

Dryzek, J.S. (1990). *Discursive Democracy: Politics, Policy and Political Science.* Cambridge University Press.

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Fischer, Frank et J. Forester (1993). *The Argumentative Turn in Policy Analysis and Planning*. Duke University Press.

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Friedman, John (1987). *Planning in the Public Domain*. Princeton University Press.

Guba, Egon G. (1990). *The Paradigm Dialogue*. Sage.

Hajer, Marteen A. et H. Wagenaar (2003). *Deliberative Policy Analysis*. Cambridge University Press.

Hawkesworth, Mary (1988). *Theoretical Issues in Policy Analysis*. State University of New York Press, pp.1-35.

Hawkesworth, Mary (1994). 'Policy Studies Within a Feminist Frame', *Policy Sciences* (27), pp.97-118.

Heclo, Hugh (1972). 'Policy Analysis', *British Journal of Political Science* (2): 1, pp.83-108.

Hoos, Ida R. (1972). *Systems Analysis in Public Policy: A Critique*. University of California Press.

Jenkins-Smith, Hank C. (1990). *Democratic Politics and Policy Analysis*. Brooks/Cole Publishing.

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Lynn, Laurence E. (1999). 'A Place at the Table: Policy Analysis, its Postpositivist Critics, and the Future of Practice', *Journal of Policy Analysis and Management* (18): 3, pp.411-424.

May, P. (1986). 'Politics and Policy Analysis', *Political Science Quarterly* (101): 1, pp.109-125.

Pal, Leslie A. (1992). *Public Policy Analysis*. 2ème édition. Nelson Canada.

Rein, Martin (1976). *Social Science and Public Policy*. Penguin Books.

Scott, James C. (1998). *Seeing Like a State: How Certain Schemes to Improve the Human Condition Have Failed*. Yale University Press.

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Stone, Deborah A. (1997). *Policy Paradox and Political Reason*. Foresman & Co.

Tribe, Laurence H. (1972). 'Policy Science: Analysis or Ideology?', *Philosophy and Public Affairs*, vol. 2, no. 1, pp.66-110.

van Gunsteren, Herman (1976). *The Quest for Control: A Critique of the Rational-Central Rule Approach in Public Affairs*. Wiley.

Wildawsky, Aaron B. (1969). 'Rescuing Policy Analysis from PPBS', *Public Administration Review*, (29), pp.292-310.

Wildawsky, Aaron B. (1979). *Speaking Truth to Power: The Art and Craft of Policy Analysis*. Little Brown.

6. L'approche des choix publics (12 oct.)

- Ostrom, Elinor. (1990). *Governing the Commons: the Evolution of Institutions for Collective Action*. Cambridge University Press.
- Mueller, Dennis C. (2003). *Public Choice III*. Cambridge University Press. Chapitre 16, 'Bureaucracy', pp. 359-385.

Lectures supplémentaires:

Blais, André et S. Dion (1991). *The Budget-Maximizing Bureaucrat*. Pittsburgh University Press.

Borins, Sanford (1988). 'Public Choice: 'Yes Minister' Made it Popular, but does Winning the Nobel Prize Make it True?', *Administration publique du Canada* (31): 1, pp.12-26.

Borcherding, Thomas E. (1977). *Budgets and Bureaucrats: the Sources of Government Growth*. Duke University Press.

Dahl, Robert (1985). *A Preface to an Economic Theory of Democracy*. Polity Press.

Downs, Anthony (1957). *An Economic Theory of Democracy*. Harper.

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Dunleavy, Patrick (1985). 'Bureaucrats, Budgets and the Growth of the State', *British Journal of Political Science*, vol. 15, no. 3, pp.299-328.

Dunleavy, Patrick (1991). *Democracy, Bureaucracy and Public Choice*. Harvester Wheatsheaf.

Friedman, Jeffrey (1996). *The Rational Choice Controversy: Economic Models of Politics Reconsidered.* Yale University Press.

Green, Donald et I. Shapiro (1994). *The Pathologies of Rational Choice Theory*. Yale University Press.

Hogarth, Robin M. et M. W. Reder (1987). *Rational Choice: the Contrast Between Economics and Psychology*. University of Chicago Press.

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Moe, Terry M. (1990). 'Political Institutions: The Neglected Side of the Story', *Journal of Law, Economics, and Organizations*. Vol. 6: 213-253.

Monroe, Kristen R. (1991). *The Economic Approach to Politics: A Critical Assessment of the Theory of Rational Action*. Harper Collins.

Niskanen, William A. (1971). *Bureaucracy and Representative Government*. Aldine, Altherton.

Self, Peter (1993). *Government by the Market: the Politics of Public Choice*. Macmillan.

Shepsle, Kenneth (1989). 'Studying Institutions: Some Lessons from the Rational Choice Approach', *Journal of Theoretical Politics* (1), pp.131-149.

Tullock, Gordon (1967). *The Politics of Bureaucracy*. Public Affairs Press.

7. Le nouveau management public (NMP) (19 oct.)

- Pollitt, Christopher et G. Bouckaert (2000). *Public Management Reform: A Comparative Analysis.* Oxford University Press.
- Savoie, D. J. (1995). 'What is Wrong with the New Public Management', *Administration publique du Canada* (38): 1, pp.112-121, et la réplique de Sanford Borins 'The New Public Management is Here to Stay', pp.122-132.

<u>Lectures supplémentaires:</u>

Aucoin, Peter (1995). *The New Public Management: Canada in Comparative Perspective*. IRPP.

Barberis, P. (1998). 'The New Public Management and the New Accountability', *Public Administration*, (76), pp.451-470.

Barzelay, Michael (1992). *Breaking Through Bureaucracy: A New Vision for Managing in Government*. University of California Press.

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Boston, Jonathan (1991). *Reshaping the State: New Zealand Bureaucratic Revolution*. Oxford University Press.

Chevallier, J. et D. Loschak (1982). 'Rationalité juridique et rationalité managériale dans l'Administration française', *Revue française d'Administration publique* (24) : oct-déc., pp.53-92.

Chaty, Lionel (1997). *L'Administration face au management*. L'Harmattan.

Clarke, John et Janet Newman (1997). *The Managerial State*. Sage.

Dunleavy, P. et C. Hood (1994). 'From Old Public Administration to New Public Management', *Public Money and Management* (14): 3, pp.9-16.

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Hood, Christopher (1991). 'A Public Management for All Seasons?', *Public Administration* (69): 1, pp.3-19.

Hood, Christopher (1998). *The Art of the State: Culture, Rhetoric and and Public Management*. Oxford University Press.

Hood, Christopher (1996). 'Exploring Variations in Public Management Reform of the 1980s', dans Hans A.G.M. Bekke et al. *Civil Service Systems in Comparative Perspective*. Indiana University Press, pp.268-284.

Hughes, Owen (1994). *Public Management & Administration*. St.Martin's Press.

Osborne, D. et T. Gaebler (1992). *Reinventing Government: How the Entrepreneurial Spirit is Transforming the Public Sector*. Penguin Books.

Peters, B. Guy et Donald J. Savoie (1998). *Réformer le secteur public : où en sommes-nous?* Presses de l'Université Laval.

Saint-Martin, Denis (2000). *Building the New Managerialist State: Consultants and the Politics of Public Sector Reform in Comparative Perspective*. Oxford University Press.

Savoie, Donald J. (1993). *Thatcher, Reagan, Mulroney: In Search of a New Bureaucracy*. Toronto University Press.

Thomas, Paul G. (1998). 'La nature changeante de l'imputabilité', dans B. G. Peters et D. J. Savoie (éds). *Réformer le secteur public: où en sommes-nous?* pp.263-291.

Trosa, Sylvie (1995). *Moderniser l'Administration. Comment font les autres?* Les éditions d'organisation.

Walsh, Kieron (1995). *Public Services and Market Mechanisms. Competition, Contracting and the New Public Management*. Palgrave-Macmillan.

SEMAINE DE LECTURE (26 octobre)

8. La formation de l'agenda public (2 nov.)

- Kingdon, John W. (1995). *Agendas, Alternatives and Public Policies*. Harper Collins.
- True James L., Bryan D. Jones and Frank R. Baumgartner. (1999). 'Punctuated Equilibrium Theory: Explaining Stability and Change in American Policymaking' dans Sabatier Paul A. *Theories of the Policy Process*. Westview.

<u>Lectures supplémentaires :</u>

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Berger, Peter L. et T. Luckmann (1966). *The Social Construction of Reality*. Doubleday.

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Dery, D. (1984). *Problem Definition in Policy Analysis*. University Press of Kansas.

Edelman, Murray (1988). *Constructing the Political Spectacle*. Chicago University Press.

Favre, Pierre (1992). 'L'émergence de problèmes dans le champ politique', dans P. Favre (dir). *Sida et politique*. L'Harmattan.

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Keeler, J. (1993). 'Opening the Window for Reform', *Comparative Political Studies* (25): 4, pp.433-486.

Mény, Yves et Jean-Claude Thoenig (1989). *Politiques Publiques*. Presses Universitaires de France. Chapitre 4 : « L'émergence des problèmes publics ».

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Muller, Pierre (2000). *Les politiques publiques*. PUF - Que sais-je?

Pal, Leslie A. (1992). *Public Policy Analysis*. Chapitre 6: "Agenda Setting and Problem Definition".

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Rochefort, David A. et Roger W. Cobb (1993). 'Problem Definition, Agenda Access and Policy Change', *Policy Studies Journal* (21): 1, pp.56-71.

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Schneider, A. et H. Ingram (1993). 'Social Construction of Target Populations: Implications for Policy and Politics', *American Political Science Review* (87): juin, pp.334-347.

Schneider, Joseph W. (1985). 'Social Problems Theory: The Constructionist View', *Annual Review of Sociology* (11), pp.209-229.

Spector, Malcolm et John I. Kitsuse (1987). *Constructing Social Problems*. De Gruyter.

Stone, Deborah (1989). 'Causal Stories and the Formation of Policy Agendas", *Political Science Quarterly* (104): 2, pp.281-300.

Weiss, J. A. (1989). 'The Power of Problem Definition', *Policy Sciences*, no. 22, pp.97-121.

9. L'approche institutionelle historique (9 nov.)

- Thelen, Kathleen, (2004). *How Institutions Evolve*. Cambridge University Press.

Lectures supplémentaires:

Atkinson, Michael M. (1993). *Governing Canada: Institutions and Public Policy.* HBJ Canada.

Bailey, Elizabeth (1987). *Public Regulation: New Perspectives on Institutions and Policies.* MIT Press.

Evans, Peter D. Rueschemeyer et T. Skcopol (1985). *Bringing the State Back In.* Cambridge University Press.

Hall, Peter A. (1986). *Governing the Economy. The Politics of State Intervention in Britain and France.* Oxford University Press.

Hall, Peter et R. Taylor (1997).'La science politique et les trois néo-institutionalismes' *Revue française de science politique* (47) : 3-4, pp.469-496.

Hood, Christopher (1986). *The Tools of Government.* Chatham House.

Howlett, Michael et M. Ramesh (1993). 'Patterns of Policy Instrument Choice', *Policy Studies Review* (12): 1-2, pp.1-122.

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